

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

GIUSEPPE PAMPENA, on behalf of himself and all
others similarly situated,

Plaintiffs,

vs.

ELON R. MUSK,

Defendant.

CASE NO. 3:22-CV-05937-CRB

CLASS ACTION

PROOF OF CLAIM FORM

IF YOU SOLD THE PUBLICLY TRADED COMMON STOCK OR CALL OPTIONS, OR PURCHASED THE PUT OPTIONS, OF TWITTER, INC., DURING THE PERIOD FROM MAY 13, 2022 THROUGH OCTOBER 4, 2022 INCLUSIVE (THE “CLASS PERIOD”), YOU ARE POTENTIALLY ENTITLED TO A PAYMENT FROM A CLASS ACTION VERDICT.

PROOF OF CLAIM FORM

A. GENERAL INSTRUCTIONS

1. To recover damages as a Class Member you must complete and, on page 7 hereof, sign this Proof of Claim form (“Proof of Claim” or “Claim Form”). If you fail to submit a properly addressed (as set forth in paragraph 3 below) Proof of Claim, your claim may be rejected, and you may be precluded from any recovery in the Action.

2. Submission of this Proof of Claim, however, does not ensure that you will be eligible to recover in the Action. To be eligible for recovery, the Administrator must determine, based on the information in your Proof of Claim, that you have incurred damages as calculated pursuant to the Verdict and Plan of Allocation as set forth in the Notice as a result of your sales of Twitter, Inc. publicly traded common stock or call options, or purchase of put options during the period from May 13, 2022, through October 4, 2022, inclusive.

3. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.TWITTERACQUISITIONLITIGATION.COM NO LATER THAN NOVEMBER 24, 2026, OR, IF MAILED, BE POSTMARKED OR RECEIVED NO LATER THAN NOVEMBER 24, 2026, ADDRESSED AS FOLLOWS:

Twitter Acquisition Litigation
c/o Epiq Systems, Inc.
ATTN: CLAIMS
PO Box 3015
Portland, OR 97208-3015

4. If you are a Class Member, you are bound by the terms of any judgment entered in the Action, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM OR RECEIVE A PAYMENT, unless you previously requested exclusion from the Class that was certified in this Action. If you previously submitted a valid request for exclusion, do not submit a Claim Form because you will no longer be eligible to do so.

B. CLAIMANT IDENTIFICATION

1. If you sold Twitter, Inc. (“Twitter”) publicly traded common stock or call options, or purchased put options during the period from May 13, 2022 through October 4, 2022, inclusive, and held the share(s) in your name, you are the beneficial owner as well as the record owner. If, however, the share(s) were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner and the third party is a record owner.

2. Use Part I of this form below entitled “Claimant Identification” to identify each beneficial owner of Twitter common stock and put and call options that forms the basis of this claim, as well as the record owner, if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER, OR THE LEGAL REPRESENTATIVE OF SUCH OWNER OF TWITTER COMMON STOCK AND THE PUT AND CALL OPTIONS UPON WHICH THIS CLAIM IS BASED.**

3. All joint owners must sign this Claim Form. Executors, administrators, guardians, conservators, and trustees must complete and sign this Claim Form on behalf of Persons represented by them; their authority must accompany this Claim Form, and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

C. IDENTIFICATION OF TRANSACTIONS

1. Use Parts II–IV of this Claim Form entitled “Schedule of Transactions” (below) to supply all required details of your transaction(s) in Twitter common stock and the put and call options, as applicable. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantively the same format. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information, whether the transactions resulted in a profit or a loss. For Twitter common stock (Part II below), provide all of your sales and purchases or acquisitions that took place at any time from May 13, 2022 through October 27, 2022, inclusive, and the shares held at the opening of trading on May 13, 2022 and as of the opening of trading on October 27, 2022. For Twitter call options (Part III below) and put options (Part IV below), provide all of your sales, assignments, or expirations and purchases or acquisitions that took place at any time from May 13, 2022 through October 27, 2022, inclusive, and the options outstanding at the opening of trading on May 13, 2022.

3. List each transaction in the Class Period separately and in chronological order by trade date, beginning with the earliest. You must accurately **provide the month, day, and year of each transaction you list**.

4. Copies of broker confirmations or other documentation of your transactions in Twitter common stock and put and call options must be submitted with your Claim Form. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS.**

5. The above requests are designed to provide the minimum amount of information necessary to process the simplest claims.

6. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. This is different from the online submission process that is available at www.TwitterAcquisitionLitigation.com. If you have a large number of transactions and wish to file your claim electronically, you can log into www.EpiqFiling.com to download the template for providing claim data and once completed, the data file and master form can be submitted in EpiqFiling. You can also download the required template at www.TwitterAcquisitionLitigation.com/Home/Filing. Any file not in accordance with the required electronic filing format will be subject to rejection.

Beneficial Owner's First Name	MI	Beneficial Owner's Last Name
Co-Beneficial Owner's First Name	MI	Co-Beneficial Owner's Last Name
Entity Name (if Beneficial Owner is not an individual)		
Representative or Custodian Name (if different from Beneficial Owner[s] listed above)		
Address 1 (street name and number)		
Address 2 (apartment, unit or box number)		
City	State	ZIP Code
		-
Country		
Last four digits of Social Security Number or Taxpayer Identification Number		
Telephone Number (Day)	Telephone Number (Evening)	
- -	- -	
Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)		
Account Number (where securities were traded)		
Claimant Account Type (check appropriate box)		
☐ Individual	☐ IRA/401K	☐ Estate
☐ Joint	☐ Pension Plan	☐ Trust
☐ Corporation	☐ Other _____ (please specify)	

PART II: SCHEDULE OF TRANSACTIONS IN TWITTER, INC. PUBLICLY TRADED COMMON STOCK

- A. Number of shares of Twitter, Inc. publicly traded common stock held at the opening of trading on May 13, 2022 (must be documented):

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- B. Purchases or acquisitions of Twitter, Inc. publicly traded common stock from May 13, 2022, through October 27, 2022, inclusive (must be documented) (including any shares you owned as of 10/27/22 that were acquired in the Merger):

Date of Purchase/Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price per Share	Total Purchase/Acquisition Price (Excluding Commissions, Taxes, and Fees)																											
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- C. Sales of Twitter, Inc. publicly traded common stock from May 13, 2022, through October 27, 2022, inclusive (must be documented):

Sale Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price per Share	Total Sale Price (Excluding Commissions, Taxes, and Fees)																											
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PART III: SCHEDULE OF TRANSACTIONS IN TWITTER, INC. CALL OPTIONS CONTRACTS

A. Separately list all positions in Twitter, Inc. Call Option contracts in which you had an open interest as of the opening of trading on May 13, 2022 (must be documented):

Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts in Which You Had an Open Interest (including any short Holdings)	Check here if position is short
\$.			☐
\$.			☐
\$.			☐
\$.			☐

B. Purchases of Twitter, Inc. Call Option contracts from May 13, 2022, through October 27, 2022, inclusive (must be documented):

Purchase or Acquisition Date (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts Purchased/ Acquired	[X] Expired [E] Exercised	Purchase Price Per Contract	Exercised Date (Month/Day/Year)
	\$.			☐		
	\$.			☐		
	\$.			☐		
	\$.			☐		

C. Sales of Twitter, Inc. Call Option contracts from May 13, 2022 through October 27, 2022, inclusive, (must be documented) (include any options contracts that were closed out when the Merger closed on October 27, 2022):

Date of Sale (List Chronologically) (Month/Day/Year)	Strike Price of Call Option Contract	Expiration Date of Call Option Contract (Month/Day/Year)	Number of Call Option Contracts Sold	Sale Price Per Contract	Check here if option was expired or assigned
	\$.			\$	☐
	\$.			\$	☐
	\$.			\$	☐
	\$.			\$	☐

A. Separately list all positions in Twitter, Inc. Put Option contracts in which you had an open interest as of the opening of trading on May 13, 2022, long or short (must be documented):

B. Separately list each and every purchase/acquisition (including free receipts) of Twitter, Inc. Put Option contracts during the period from May 13, 2022, through October 27, 2022, inclusive, and provide the following information (must be documented):

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Strike Price of Put Option Contract	Expiration Date for Put Option Contract (Month/Day/Year)	Number of Put Option Contracts Purchased/ Acquired	Purchase Price Per Contract	Check Here if option was expired or assigned
	\$			•	
	\$			•	
	\$			•	
	\$			•	

C. Separately list each and every sale (writing) (including free deliveries) of Twitter, Inc. Put Option contracts during the period from May 13, 2022, through October 27, 2022, inclusive, and provide the following information (must be documented):

Date of Writing/Sale (List Chronologically) (Month/Day/Year)	Strike Price of Put Option Contract	Expiration Date of Put Option Contract (Month/Day/Year)	Number of Put Option Contracts Sold (Written)	[X] Expired [A] Assigned	Sale Price Per Contract	Exercised Date (Month/Day/Year)
	\$					
	\$					
	\$					
	\$					

Reminder Checklist:

1. Please sign the above release and declaration.
2. If this Claim is being made on behalf of Joint Claimants, then both must sign.
3. Remember to attach copies of supporting documentation, if available.
4. Do not send originals of documentation.
5. Keep a copy of your Claim Form and all supporting documentation for your records.
6. If you desire an acknowledgment of receipt of your claim form please send it by Certified Mail, Return Receipt Requested.
7. If you move, please send your new address to the address below.
8. Do not use red pen or highlighter on the Proof of Claim form or supporting documentation.

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